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Enolic Schiff Base Aluminum Complexes andTheir Catalytic Stereoselective Polymerization of Racemic Lactide

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Abstract: A series of enolic Schiff base aluminum(III) complexes LAlR (where L=NNOO-tetradentate enolic Schiff base ligand) containing ligands that differ in their steric and electronic properties were synthesized. Their single crystals showed that these complexes are five-coordinated around the aluminum center. Their coordination geometries are between square pyramidal and trigonal bipyramidal. Their catalytic properties in the solution polymerization of racemic lactide (rac-LA) were examined. The modifications in the auxiliary ligand exhibited a dramatic influence on the catalytic perfor-

Introduction

Biodegradable polyesters have found a wide range of applications, such as sutures, bone fracture fixation devices, drug controlled release carriers, tissue engineering scaffolds and green plastics for wrapping materials, disposal containers and fibers.[1] Because the ester linkage is easily hydrolyzed, they can be converted into hydroxyl acid via degradation and finally to $CO₂$ and water. For these reasons, a lot of research on the biodegradable polyesters has been carried out in recent years.[2] Polylactide (PLA) is one of the most

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- Supporting information for this article is available on the WWW under http://www.chemeurj.org/ or from the author. Scheme 1. Stereoisomers of lactides.

mance. Lengthening the backbone from C_2 alkylene to C_3 alkylene resulted in remarkable enhancement of both the stereoselectivity and the polymerization rate because of the increasing flexibility of the diimine backbone. Electron-withdrawing substituents in the diketone also highly improved the activity and the stereoselectivity. Among these complexes, 4b had the highest activity and the stereoselectiv-

Keywords: aluminum \cdot lactide \cdot mer con ring-opening polymerization · Schiff bases · stereoselectivity

ity owing to the C_3 alkylene backbone and the two gem-methyl groups on the middle carbon atom. The value of the polymerization rate constant (k_n) catalyzed by $4b$ in 70° C was 1.90 Lmol⁻¹ min⁻¹, the activation energy of the polymerization $(35.4 \text{ kJ} \text{ mol}^{-1})$ was calculated according to the Arrhenius equation. Other factors that influenced the polymerization, such as the polymerization time, the temperature, and the monomer concentration, are also discussed

promising biodegradable polyesters because of its renewable resources.[3] PLA can be prepared by the ring-opening polymerization (ROP) of lactide (LA) or by direct condensation of lactic acid. Lactide exists as three different stereoisomers: L -lactide (L -LA), D -lactide (D -LA) and *meso*-lactide (Scheme 1), so their polymers may have different chain configurations (Scheme 2). the chain microstructures of PLA are one of the most important factors that influence the physical, mechanical and degradation properties of the polymers.[4] Conventional catalysts such as aluminum trialkoxides^[5] and tin(II) octanoate^[6] do not prefer one of the three different stereoisomers of LA, so the polymers obtained by these catalysts contain random placements of lactide monomers. The stereoregular polymers can be obtained contain by using either the enantiopure L-LA or D-LA monomer.

Scheme 2. Microstructures of PLA.

Since stereocomplex polymers formed by an equivalent mixture of PLLA and PDLA have many advantages such as higher melting temperature (230 $^{\circ}$ C).^[7] Many efforts have been made to obtain crystalline PLA via direct ring-opening polymerization (ROP) of racemic lactide (rac-LA; i.e., a 1:1 mixture of L-LA and D-LA) by the stereoselective catalysts. Stereoblock PLA can be obtained from rac-LA using the aluminum complex of a chiral binaphthyl Schiff base originally proposed by Spassky et al.^[8] A few other excellent studies have attempted to elucidate the relationship between the aluminum Schiff base complexes and stereoselectivity^[9] (Scheme 3). Among them, Coates,^[9a] Feijen^[9c, d] and Duda^[9j] used chiral salen-type Schiff base catalysts via the enantiomorphic site control mechanism; Nomura^[9e] and our group^[9g,h] used achiral salen-type Schiff base catalysts via the chain-end control mechanism.

Enolic ligands could be obtained from the reaction of β diketone and diamine. Ideal Schiff base ligands and their aluminum complexes can be obtained through keto–enol tautomerism. To the best of our knowledge, few researches on enolic Schiff bases and their metal complexes have been reported in the rac-LA polymerization.^[10] It is postulated that aluminum complexes coordinated by enolic Schiff base

ly communicated two enolic Schiff base complexes acting as the precursors for the polymerization of rac-LA by a chain end controlled mechanism.^[11] To expand our initial work, we have investigated the effect of the complex architecture on the lactide polymerization behavior. We tried to elucidate the relationship between the complex structure and the catalytic performance of the enolic Schiff base aluminum complexes. Our approach was based on fine-tuning of the ancillary ligand and study of the effect on the catalytic reactivity. Herein we systematically explore the synthesis, characterization and stereoselective polymerization of the enolic Schiff base aluminum complexes family.

Results and Discussion

Ligand synthesis: The versatile ligand family (Scheme 4) was easily synthesized from readily available starting materials, namely primary diamines with acetylacetone, 1-benzoylacetone and 1,1,1-trifluoro-acetylacetone.^[12]

Ligands 1, 2 and 7 had the identical aliphatic diimine backbones but the different enol substituents: $CH₃$ for 1, C_6H_5 for 2 and CF₃ for 7. Ligands 2, 3, 4 and 5 had identical enol segments but the different diimine backbones: $-CH_2CH_2$ - for 2, $-CH_2CH_2CH_2$ - for 3, $-CH_2C(CH_3)_2CH_2$ - for 4 and -CH₂C₆H₂CH₂- for 5. Ligands 5 and 6 had the identical aryl diimine backbones but the different enol substituents: C_6H_5 for 5 and CH₃ for 6.

Scheme 4. Ligands prepared for the stereoselective polymerization of lactides. A) change of the diamine substituents, B) change of diketone substituents.

Complex formation and crystal structure characterization: Reaction of ligands $1-7$ with stoichiometric AlEt₃ in toluene formed enolic Schiff base aluminum ethyl complexes $1a-7a$, respectively. All these complexes were isolated as solid powders. To estimate the relationship between geometric structures and their catalytic performance, single crystals of 2a, 3a, and 4a were measured (Table 1). X-ray diffraction data showed that all three crystals were five-coordinated around the aluminum center. The parameter τ was used to describe the degree of crystal distortion of a five-coordinated Schiff base aluminum complex:[13] a perfect square-pyramidal geometry (sqp) corresponds to $\tau=0$, while perfect trigonal bipyramidal geometry (tbp) corresponds to $\tau=1$.

The molecular structure of 2a with the τ value of 0.09 was shown in Figure 1. The geometry around the Al atom was sqp geometry with the ethyl group lying on the axial position and two nitrogen atoms and two oxygen atoms on the basal position. Central Al atom was about 0.531 Å above the N1-N2-O1-O2 mean plane with an average compressed axial O1-Al-N2 bond angle of $150.34(15)$ ^o and equatorial O2-Al-N1, C23-Al-N1, and O2-Al-C23 bond angles of 144.91(15), 110.24(18), and 104.72(16)°, respectively. The distances from the Al atom to O1, O2, N1, N2 and C23 were 1.831(3), 1.835(3), 1.982(5), 1.999(5) and 1.970(4) \AA , respectively. Compound 3a had a τ value of 0.78, manifesting that 3a adopted a distorted tbp geometry with O1 and N2 occupying the axial site and O2, N1 and ethyl group

lying on the equatorial plane. The central Al atom was about 0.029 Å above the equatorial plane formed by $O2$, N1 and C1 in the direction of N2 with an average compressed axial O1-Al-N2 bond angle of $167.88(9)$ ° and equatorial O2-Al-N1, C1-Al-N1, and O2-Al-C1 bond angles of 120.41(9), 120.56(11), and 118.96(11) $^{\circ}$, respectively. The distances from the Al atom to O1, O2, N1, N2 and C1 were 1.8881(18), 1.8108(19), 1.961(2), 2.081(2) and 1.949(3) Å, respectively.^[11] Compound 4a has an identical τ value (0.78) with 3a. The geometry of 4a (Figure 2) was analogous to 3a with an average compressed axial O2-Al-N1 bond angle of $167.78(6)°$ and equatorial O1-Al-N2, O1-Al-C15, and N2-Al-C15 bond angles of $120.60(6)$, $119.25(7)$ and $120.15(7)$ °, respectively. The distances from the Al atom to O1, O2, N1, N2 and C15 were 1.8151(12), 1.8724(12), 2.0639(14), 1.9674(15) and $1.9885(18)$ Å, respectively. Central Al atom was about 0.001 Å above the equatorial plane formed by O1, N2 and C15 in the direction of O2. The difference of the τ values between 2a and 3a was presumably due to the nature of their diimine backbones, $3a$ had more flexible C_3 backbone than $2a$ (C₂ backbone); the tbp geometry in $3a$ may avoid the eclipse of methylene hydrogens. The similar results were reported in salen aluminum systems.^[13a] The substituent groups in the middle carbon of the C_3 backbone seemed to have no obvious influence in comparison with the diimine backbone length on the geometry as $3a$ and $4a$ had the same τ value.

Further insight into the nature of real initiators of ROP and the effect of the fifth coordinated group on geometry came from the preparation and characterization of two enolic Schiff base aluminum isopropoxides 2b and 4b via in situ alcoholysis (Scheme 5). X-ray single crystal structure analysis of 2b also showed a five-coordinated aluminum center (Figure 3). The τ value of 2b was 0.54. The geometry around the Al atom was a distorted tbp geometry with O2 and N1 occupying the axial site and O1, N2 and O3 lying on the equatorial plane. Central Al atom was about 0.088 Å above the equatorial plane formed by O1, N2 and O3 in the direction of O2 with an average compressed axial O2-Al-N1 bond angle of $165.50(7)$ ^o and equatorial O1-Al-N2, O1-Al-O3, and N2-Al-O3 bond angles of 133.27(6), 113.05(7) and 112.98(7) $^{\circ}$. The distances from the Al atom to O1, O2, N1, N2 and O3 were 1.8217(13), 1.8389(13), 1.9897(16), 1.9827(16) and 1.7443(14) Å. The large difference in the τ values between $2a$ (aluminum ethyl, τ value was 0.09) and **2b** (aluminum isopropoxide, τ value was 0.54) manifested that $2b$ was more distorted than $2a$. The geometry of $4b$ was distorted tbp with O1 and N2 occupying the axial site and O2, N1 and O3 lying on the equatorial plane (Figure 4). Central Al atom was about 0.046 Å above the equatorial plane formed by O2, N1 and O3 in the direction of O1 with an average compressed axial O1-Al1-N2 bond angle of $172.87(14)$ ° and equatorial O2-Al1-N1, O2-Al1-O3, and N1-Al1-O3 bond angles of 120.31(14), 121.83(14) and $117.67(14)$ °, respectively. The distances from the Al1 atom to O1, O2, N1, N2 and O3 were 1.861(3), 1.802(3), 1.967(3), 2.011(4) and 1.740(3) Å, respectively. The τ value of 4b was

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meric behavior, we could not conclude whether these complexes retained their monomeric structures in their solutionstate. Coates et al.^[9a] reported that the salen-type aluminum isopropoxide, which had less steric hindrance, had a dimer character in the solution state, though the dimer was inactive in the lactide polymerization. Recently, Lin et al.^[14] reported that the Schiff base zinc complex showed dimer behavior in the solid state, but in the solution state, a mixture of dimer and monomer (include diastereoisomer) was observed. In order to determine the real conformation of these complexes in the solution state, we investigated the ${}^{1}H$ and ${}^{27}Al$ NMR spectra of these complexes. The ¹H NMR spectra of the ethyl complexes 1a-7a showed one set of resonance peak and the 27Al NMR spectra of $1a-7a$ showed resonance peak at about 36 ppm, indicating that all the ethyl complexes

[a] Selected bond lenths and angles are available in the Supporting Information.

Figure 1. Crystal structure of complex 2a.

0.85. Compound 4b showed more tbp geometry character than $4a$ (τ value was 0.78). From the crystal analysis, it was believed that the fifth coordinated group had notable influence on the complex's geometry.

Complexes structure characterization in solution: Although the solid-state structures of these complexes showed mono-

Figure 2. Crystal structure of complex $3a$.

 $C20$

 $C2'$

retained their conformation with the five-coordinated monomeric Al center in the solution state.^[15] The ¹H NMR spectra of the isopropoxide complexes $2b$ and $4b$ also showed one set of resonance peak; additional 27Al spectra showed a resonance peak at about 36 ppm. We could conclude from

Scheme 5. Synthetic pathway for the preparation of complexes.

Figure 3. Crystal structure of complex 2b.

Figure 4. Crystal structure of complex 4b.

the spectral results that both 2b and 4b retained the five-coordinated monomeric Al center as in the solid structure.

Kinetic studies: The polymerization processes were investigated by kinetic studies using 1a-7a. Polymerization data were collected in Table 2. The data of conversion versus time were collected for both the aliphatic diimine backbone complexes (1a, 2a, 3a, 4a and $7a$)^[17] and aryl diimine backbone complexes $(5a \text{ and } 6a)$, respectively (Figures 5 and 6). First-order kinetics in monomer was observed [Eq. (1)], where k_{app} was the apparent polymerization rate constant.

$$
\frac{-d[LA]}{dt} = k_{app}[LA] \quad (1)
$$

The number-average molecular weight (M_n) also followed a linear relationship in monomer conversion for both the aliphatic diimine backbone complexes (Figure 7) and aryl diimine

backbone complexes (Figure 8). The molecular control and the low polydispersity indicated that the polymerization had a characteristic of controlled propagation. To determine the order in Al, k_{app} was plotted versus the concentration of Al (Figure 9) using $4a$. From this plot, k_{app} increased linearly with the Al concentration, manifesting that the order in Al was first-order too. Therefore, the polymerization of rac-LA using 4a followed an overall kinetic equation of the form as Equation (2), where k_p was the polymerization rate constants and $k_p = k_{app}/[Al]$.

$$
\frac{-d[LA]}{dt} = k_p[LA][Al]
$$
 (2)

We could extrapolate this equation to other complexes in the rac-LA polymerization. Aluminum isopropoxides 2b and 4b were also used as real initiators to investigate their reactivities (Table 3). According to the polymerization data, it was concluded that in the present of 2-propanol, aluminum ethyl complex 2a and aluminum isopropoxide 2b had same reactivities, similar results were obtained for 4a and 4**b**. It was envisioned that the in situ alcoholysis reaction of aluminum ethyl complex with 2-propanol were almost quantitative (Scheme 5). The ¹H NMR spectrum of the LA oligomers indicated that the polymer chains were end-capped with an isopropyl ester and a hydroxyl group $[11]$ and the ring-opening occurred through a so-called coordination–insertion mechanism^[18] as described in Scheme 6. Because the complexes in this paper had no chirality, it was presumed that the polymerization followed a so-called chain-end control mechanism.^[9e, 19, 20]

The influence of temperature on the polymerization rate was investigated (Figure 10). The polymerization rate increased with the increasing temperature, but the stereoselectivity decreased. Determination of the stereochemical microstructures of PLA was achieved through inspection of the methine region of homonuclear decoupled ¹H NMR spectra of the resultant polymers.^[9a, c, 11] The apparent polymerization rate constant (k_{app}) , polymerization rate constants (k_p) and $P_{\rm m}$ ^[21] values are collected in Table 4. With these three parameters, the activation energy of the polymerization was calculated by fitting them to the Arrhenius equation (k_p = $Ae^{-E_a/RT}$): an activation energy E_a of 35.4 kJ mol⁻¹ was deduced by plotting $\ln k_p$ versus 1/T. The activation energy for 4a was much lower compared with that with $tin(II)$ octanoate $(70.9 \pm 1.5 \text{ kJ} \text{ mol}^{-1})$.^[22]

Figure 5. Kinetic plots of the rac-lactide conversion vs. the reaction time: a) complex 1a/2-propanol, $[M]_0/$ [Al]=65; b) complex $4a/2$ -propanol, $[M]₀/[Al]=40$; c) complex $4a/2$ -propanol, $[M]₀/[Al]=80$; d) complex $4a/2$ 2-propanol, $[M]_0/[Al]=110$; e) complex **7a**/2-propanol, $[M]_0/[Al]=65$.

Figure 6. Kinetic plots of the rac-lactide conversion vs. the reaction time: a) complex $5a/2$ -propanol, $[M]_0/[Al]=56$; b) complex $6a/2$ -propanol, $[M]_0/[Al]=56.$

Figure 7. Plot of PLA M_n and polydispersity (M_n/M_n) as a function of rac-lactide conversion using complex $4a/2$ -propanol, $[M]_0/[Al]=110$.

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Complex structure and stereoselective polymerization

Effect of the diimine backbone length and substituent: Complexes $2a$, $3a$, $4a$ and $5a$ have an identical enol structure but different diimine backbones. Correspondingly, they displayed different catalytic behaviors (Table 2 entry 2, 3, 4 and 5). Lengthening the backbone from C_2 to C_3 gave rise to significant increase in activity. Compound $2a$ had $C₂$ backbone while $3a$ had C_3 backbone; the catalytic activity of 3a was much higher than that of $2a$.^[11] Apparently, the C_3 backbone had a positive effect on the polymerization rate. Probably the higher backbone flexibility was suitable for the metal coordination sphere to open the inserting monomer ring. To further investigate the effect of

backbone on the catalytic activity, modification in the backbone of 3a was produced. The dimethyl-substituted complex 4a had the highest activity. The k_p value for 4a $(1.90 \text{ L} \text{mol}^{-1} \text{ min}^{-1})$ was much higher than those of 2a $(0.19$ Lmol⁻¹ min⁻¹) and **3a** $(0.26$ Lmol⁻¹ min⁻¹). Clearly the gem-methyls in 4a were the reason for the remarkable change in activity between $3a$ and $4a$, presumably due to the gem-methyls made the aluminum-oxygen bond easier to cleave when coordinated with the monomer resulting in higher activity. Therefore, it could be concluded that the aliphatic diimine backbone length and substituent had remarkable influence on the complex's activity. Similar phenomenon was reported by Nomura^[91] and our group^[9g] for the salen-type catalysts: catalyst possessing 2,2-dimethyl-1,3-propanediimine backbone was more active than that possessing 1,3-propanediimine backbone. Complex 5a had the lowest stereoselectivity ($P_m = 0.58$) among the four complexes. It was assumed that because the aliphatic backbone was more flexible than aryl analogues and therefore it could provide more steric hindrance during the insertion of monomer. Due to the planar aryl diimine backbone and the lack of enough steric hindrance, aryl analogues would have lower stereoselectivity.

Complexes 4a and 3a had the same τ value (0.78), while 2a had 0.09. It manifested that 3a and 4a were closer to the tbp geometry than 2a. By comparing the τ values and the stereoselectivity, maybe further deduction concerning the geometry and the stereoselectivity could be made, that is, the sap geometry of a complex did not benefit the stereoselective polymerization of rac-lactides, and complexes containing higher τ values (closer to tbp geometry) would have

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Figure 8. Plot of PLA M_n and polydispersity (M_w/M_n) as a function of rac-lactide conversion using a) complex $5a/2$ -propanol, $[M]_0/[Al]=56$; b) complex $6a/2$ -propanol, $[M]_0/[Al]=56$.

Figure 9. k_{app} vs. the concentration of 4a/2-propanol initiator for the rac-LA polymerization.

higher stereoselectivity. We temporarily assumed that the complex containing the tbp geometry had positive synergic effect to retain and extend the chirality caused by the chiral monomer after the insertion. The tbp geometry effect made the chiral recognition of incoming monomer more effective, whereas the sqp geometry did not possess this character.^[23] A similar phenomenon was reported by Nomura^[91] and our $group^{[9g]}$ for the salen-type catalysts.

Lin et al. $[14]$ reported that the stronger metal alkoxide bond would have a slower reaction rate in the ROP of lactide compared with the Schiff base zinc complex. It was not the case in our systems, since $2b$ and $4b$ had almost equal aluminum alkoxide bone length $[1.7443(14)$ for 2b and 1.740(3) for 4b] but their polymerization rate differed considerably (Table 3).

Effect of the enol substituent: Complexes 1a, 2a and 7a had the same diimine backbone, but different enol substituents. Complex 7 a had the highest activity and the highest stereoselectivity among the three complexes (Table 2, entries 1, 2 and 7). An electronic effect was obvious, with electron-withdrawing substituents in the enol segments affording more active aluminum centers, presumably a consequence of enhanced metal electrophilicities. Hence, the fluorine-substituted complexes typically exhibit greater polymerization activities than do their counterparts bearing unsubstituted enol segments. Similar conclusion were reported by Gibson^[9k] that chloro substituents in the phenoxide unit had higher activity and higher stereoselectivity than their dimethyl analogues for salan-type catalysts. The increased selectivity suggested that the electron-withdrawing groups did not take a direct but a complicated way to affect the complex's behavior during the polymerization for both salantype catalysts and enolic complexes. The effect of substitu-

> ent from methyl group to phenyl group was not remarkable in comparison with the diimine substituents (Table 2, entries 1 and 2). Complexes 1a and 2a had the similar activity and stereoselectivity, and the similar results were observed for aryl backbone complexes 5a and 6a (Table 2, entries 5 and 6).

> Stereochemistry of rac-LA: In the case of random propagation (Bernoullian statistics), the additions of different enantiomeric monomers were independent events, the different rate constants were equal. Since the ligands and complexes reported were achiral, and there was a preference for isotactic addition during the

Scheme 6. Proposed mechanism for the polymerization of lactides using enolic Schiff base aluminum isopropoxides.

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Table 2. Polymerization data of rac-LA using complexes $1a-7a$ at 70 °C in toluene.^[a]

Entry	Complex	t min	$[M]_0/[I]$	Conv. ^[b] $[\%]$	M_n (calcd) ^[c] [10 ⁻³]	$M_{\rm n}$ GPC ^[d] [10 ⁻³]	PDI ^[d]	$k_{\rm p}^{\rm [e]}$	$P_{\rm m}$
	1a	1927	65	78	7.3	13.2	1.39	0.11	0.68
2	2a	1506	65	87	8.2	12.8	1.15	0.19	0.69
3	3a	551	65	85	8.0	15.7	1.09	0.26	0.75
$\overline{4}$	4a	127	65	88	8.2	16.2	1.04	1.90	0.78
.5	4a	221	110	80	12.7	21.5	1.02	1.90	0.78
6	5а	507	56	81	6.5	13.2	1.12	0.36	0.58
7	6a	628	56	89	7.2	16.5	1.19	0.41	0.57
8	7а	281	65	93	8.7	14.5	1.32	0.92	0.75

[a] All polymerizations were carried out in toluene solution at 70 °C. $[LA]_0 = 0.5 \text{ mol } L^{-1}$. [b] Measured by ¹H NMR. [c] Calculated from the molecular weight of $LA \times [M]_0/[I] \times$ conversion + $M_w(iPrOH)$. [d] Obtained from GPC analysis and calibrated against polystyrene standard. The true value of M_n could be calculated according to formula $M_n = 0.58 M_{nGPC}$. [16] [e] $k_p(L \text{ mol}^{-1} \text{ min}^{-1})$: Calculated from the relationship $k_p = k_{app}/[\text{Al}]$.

Table 3. Polymerization data of rac-LA using complexes 2b and 4b in toluene.^[a]

Entry	Complex	t [min]	$[M]_0/[I]$	Conv. ^[b] $\lceil \% \rceil$	M_n (calcd) ^[c] [10 ⁻³]	$M_{\rm nGPC}$ ^[d] [10 ⁻³]	PDI ^[d]	$P_{\rm m}$
	2b	1528	65	90	8.4	13.5	1.14	0.68
2	2b	2140	75	93	10.1	14.7	1.20	0.66
3	4b	96	40	93	12.8	23.5	1.03	0.78
$\overline{4}$	4b	140	65	90	8.4	16.3	1.05	0.78
.5	4b	230	110	85	12.8	23.5	1.03	0.78
6	4b	335	150	90	19.5	36.8	1.04	0.78

[a] All polymerizations were carried out in toluene solution at 70 °C, $[LA]_0 = 0.5 \text{ mol } L^{-1}$. [b] Measured by ¹H NMR. [c] Calculated from the molecular weight of $LA \times [M]_0/[I] \times$ conversion + $M_w(iProCH)$. [d] Obtained from GPC analysis and calibrated against polystyrene standard. The true value of M_n could be calculated according to formula $M_{\rm n}$ = 0.58 $\rm M_{\rm nGPC}$. [16]

Table 4. Kinetic results of rac-LA polymerization at different temperatures using $4a/2$ -propanol in toluene, $[M]/[1]=110$.

T [^o C]	k_{app} [min ⁻¹]	k_n [Lmol ⁻¹ min ⁻¹]	$P_{\rm m}$
70	0.0086	1.90	0.78
90	0.0141	3.11	0.73
110	0.0318	6.99	0.69

Figure 10. Kinetics of the rac-LA polymerization using 4b at the reaction temperatures of a) 110°C ; b) 90°C ; c) 70°C , $[\text{M}]_0/[\text{Al}] = 110$.

ROPs of rac-LA, we considered the chain-end control mechanism.^[9e, 19, 20] In such a reaction, the ligand and the complex were achiral; the initiation reaction occurred without any differentiation between the two enantiomers, and the last unit in the growing polymer chain influenced which enantiomer form of the monomers would incorporate next. So we would prefer a first-order Markovian statistics $[24-26]$

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rather than a Bernoullian statistics in such a mode of propagation. PLA derived from rac-lactide could exhibit up to five tetrad sequences (mmm, mmr, rmm, mrm, rmr) in relative ratios determined by the ability of initiators to control racemic [r-diad] and meso [m-diad] connectivity of the monomer units. According to first-order Markovian statistics, the probability for meso linkages could be determined as

$$
P_{\rm m} = k_{\rm m}/(k_{\rm m} + k_{\rm r})
$$

= $k_{\rm S/SS}/(k_{\rm S/SS} + k_{\rm S/RR})$ (3)
= $k_{\rm R/RR}/(k_{\rm R/SS} + k_{\rm R/RR})$

where $k_{\text{S/SS}}$ and $k_{\text{R/RR}}$ were the rate constants of homo-propagation, $k_{S/RR}$ and $k_{R/SS}$ were the rate constants of cross-propagation. If $k_{\text{S/SS}} > k_{\text{S/RR}}$ or $k_{\text{R/RR}} >$ $k_{\rm R/SS}$, the formation of isotactic sequences were favored, otherwise syndiotactic sequences were formed. The following

equations could be deduced according to absolute reaction rate theory:

$$
k_{\rm S/SS} = k_{\rm R/RR} = k_{\rm m} = (kT/h) \exp[(\Delta S^*_{\rm ,m}/R) - (\Delta H^*_{\rm ,m}/RT)] \tag{4}
$$

$$
k_{R/SS} = k_{S/RR} = k_{r} = (kT/h) \exp[(\Delta S^{+}_{r,r}/R) - (\Delta H^{+}_{r,r}/RT)]
$$
\n(5)

Further deduction of equation 6 could be obtained from Equations (4) and (5) :

$$
P_{\rm m}/(1-P_{\rm m}) = k_{\rm m}/k_{\rm r}
$$

= exp[($\Delta S^+_{\rm m} + \Delta S^+_{\rm r})/R - (\Delta H^+_{\rm m} - \Delta H^+_{\rm r})/RT]$ (6)

where $(\Delta S^*_{m}-\Delta S^*_{r})$ was the entropy difference between homo-propagation and cross-propagation, and $(\Delta H^{\dagger}_{\mu\nu} - \Delta H^{\dagger}_{\mu\nu})$ was the enthalpy difference between homopropagation and cross-propagation. To determine the different values of $(\Delta S^*_{m}-\Delta S^*_{,r})$ and $(\Delta H^*_{m}-\Delta H^*_{,r}),$ $\ln P_{\rm m}/(1-P_{\rm m})$ was plotted versus the 1/T (Figure 11). From this plot, the entropy difference $(\Delta S^*_{m}-\Delta S^*_{n})$ of $-26.6\pm$ $2.3 \text{ cal K}^{-1} \text{ mol}^{-1}$ and activation enthalpy difference $(\Delta H^*_{m} - \Delta H^*_{r})$ of -12.7 ± 0.8 kcal K⁻¹ mol⁻¹ were obtained, which may explain the preference of isotactic stereosequence.

Figure 11. Relationship between polymerization temperature and stereochemistry of the resulting poly(rac-LA)s by using $4a/2$ -propanol.

Conclusion

We have synthesized a series of five-coordinated enolic Schiff base aluminum complexes derived from **B**-diketone and diamine. Their catalytic behavior in racemic-lactide polymerization varied remarkably. A plausible mechanism of polymerization was proposed. Complex 4b had the highest activity and stereoselectivity among all complexes. The different performance of these complexes was attributed to the different diimine backbone and diketone substituent groups on auxiliary ligands. There was a combinatorial effect of electronic and steric factors. Because the complexes in this paper had no chirality, it was presumed that the polymerization followed a so-called chain-end control mechanism. Researches toward the origin of the activity and stereoselectivity of enolic Schiff base aluminum complexes are currently in progress.

Experimental Section

General: All experiments were carried out under argon using Schlenk techniques. Starting materials for the synthesis of ligand 1–7 were purchased from Aldrich Inc. and used without further purification. Toluene was distilled from Na/benzophenone. Ethyl acetate and 2-propanol were distilled from CaH₂ under the protection of argon. rac-Lactide (Purac) was purified by recrystallization from ethyl acetate and dried under vacuum at room temperature (RT) before use. NMR spectra were recorded on Bruker AV 300_M and Bruker AV 400_M in CDCl₃ at 25 \degree C. Chemical shifts were given in parts per million from tetramethylsilane. Gel permeation chromatography (GPC) measurements were conducted with a Waters 515 GPC with CHCl₃ as the eluent (flow rate: 1 mL min^{-1} , at 35° C). The molecular weights were calibrated against polystyrene (PS) standards. Crystallographic data were collected on a Bruker APEX CCD diffractometer with graphite-monochromated $Mo_{K\alpha}$ radiation ($\lambda=$ 0.71073 Å) at 187 K. The structure was refined by the full-matrix leastsquares method on F^2 using the SHELXTL-97 crystallographic software package. Anisotropic thermal parameters were used to refine all nonhydrogen atoms. Hydrogen atoms were located in idealized positions.

Ligand synthesis (General Procedure): A solution of diamine (0.1 mol L^{-1}) in ethanol (50 mL) was added dropwise to a stirred solution of diketone (0.2 mol L^{-1}) in ethanol (50 mL). The reaction mixture was refluxed for 14 h before cooling to RT. After removal of the solvent under vacuum a crystalline solid was produced and purified by recrystallization in ethanol.

Ligand 1: Ligand 1 was obtained as a white crystalline solid in 85% yield. ¹H NMR (400 MHz, CDCl₃): $\delta = 5.00$ (s, 2H, CHCOH), 3.43 (d, 4H, N(CH₂CH₂)N), 2.00 (s, 6H, CH₃COH), 1.91 ppm (s, 6H, CH₃CN); ¹³C NMR (100 MHz, CDCl₃): δ = 194.8 (CH₃COH), 162.7 (CH₃CN),

Ligand 2: Ligand 2 was obtained as a white crystalline solid in 80% yield. ¹H NMR (400 MHz, CDCl₃): $\delta = 7.86$ (d, 4H, ArH), 7.42 (m, 6H, ArH), 5.75 (s, 2H, CHCOH), 3.61 (d, 4H, N(CH₂CH₂)N), 2.09 ppm (s, 6H, CH₃CN); ¹³C NMR (100 MHz, CDCl₃): $\delta = 188.2$ (ArCOH), 164.7 (CH3CN), 140.1, 130.5, 128.1, 126.8 (ArC), 92.9 (CHCOH), 43.7 (NCH2), 19.1 ppm (CH₃CN); elemental analysis calcd (%) for $C_{22}H_{24}N_2O_2$: C 75.83, H 6.94, N 8.04; found: C75.97, H 7.21, N 8.11.

Ligand 3: Ligand 3 was obtained as a white crystalline solid in 77% yield. ¹H NMR (400 MHz, CDCl₃): $\delta = 7.87$ (d, 4H, Ar*H*), 7.43 (m, 6H, ArH), 5.70 (s, 2H, CHCOH), 3.53 (m, 4H, N(CH₂CH₂CH₂)N), 2.17 (s, 6H, CH₃CN), 2.04 ppm (t, 2H, N(CH₂CH₂CH₂)N); ¹³C NMR (100 MHz, CDCl₃): $\delta = 187.7$ (ArCOH), 164.9 (CH₃CN), 140.1, 130.3, 128.0, 126.7 (ArC) , 92.3 (CHCOH), 39.8 (N(CH₂CH₂CH₂)N), 29.9 $(N(CH_2CH_2CH_2)N)$, 19.1 ppm (CH_3CN) ; elemental analysis calcd $(\%)$ for $C_{23}H_{26}N_2O_2$: C 76.21, H 7.23, N 7.73; found: C 76.19, H 7.21, N 7.86.

Ligand 4: Ligand 4 was recrystallized in hexane and obtained as a white crystalline solid in 51% yield. ¹H NMR (400 MHz, CDCl₃): $\delta = 7.85$ (d, 4H, ArH), 7.41 (m, 6H, ArH), 5.70 (s, 2H, CHCOH), 3.30 (d, 4H, $N(CH_2C(CH_3)_2CH_2)N$, 2.06 (s, 6H, CH₃CN), 1.20 ppm (s, 6H, $N(CH_2C(CH_3)_2CH_2)N$); ¹³C NMR (100 MHz, CDCl₃): $\delta = 187.7$ (ArCOH), 165.1 (CH₃CN), 140.2, 130.3, 128.0, 126.7 (ArC), 92.3 (CHCOH), 50.4 (N(CH₂C(CH₃)₂CH₂)N), 35.6 (N(CH₂C(CH₃)₂CH₂)N), 23.5 ($N(CH_2C(CH_3)_2CH_2)N$), 19.3 ppm (CH₃CN); elemental analysis calcd (%) for $C_{25}H_{30}N_2O_2$: C 76.89, H 7.74, N 7.17; found: C 77.05, H 7.65, N 7.22.

Ligand 5: Ligand 5 was obtained as a yellow crystalline solid in 76% yield. ¹H NMR (400 MHz, CDCl₃): $\delta = 7.92$ (d, 4H, ArH), 7.47 (m, 8H, ArH), 7.26 (s, 2H, ArH), 5.72 (s, 2H, CHCOH), 4.60 (d, 4H, $NCH_2C_6H_4CH_2N$), 2.11 ppm (s, 6H, CH₃CN); ¹³C NMR (100 MHz, CDCl₃): $\delta = 188.4$ (ArCOH), 165.3 (CH₃CN), 140.7, 139.0, 131.0, 130.0, 128.6, 127.6, 126.5, 125.9 (ArC), 93.1 (CHCOH), 47.3 (NCH₂C₆H₄CH₂N), 19.9 ppm (CH₃CN); elemental analysis calcd (%) for $C_{28}H_{28}N_2O_2$: C 79.22, H 6.65, N 6.60; found: C78.96, H 6.56, N 6.46.

Ligand 6: Ligand 6 was obtained as a yellow crystalline solid in 72% yield. ¹H NMR (400 MHz, CDCl₃): $\delta = 7.35$ (t, 1H, ArH), 7.21 (d, 2H, ArH), 7.15 (s, 1H, ArH), 5.08 (s, 2H, CHCOH), 4.49 (d, 4H, $NCH_2C_6H_4CH_2N$), 2.06 (s, 6H, CH₃COH), 1.94 ppm (s, 6H, CH₃CN); ¹³C NMR (100 MHz, CDCl₃): $\delta = 195.4$ (CH₃COH), 163.2 (CH₃CN), 139.1, 129.6, 126.1, 125.4 (ArC), 96.2 (CHCOH), 46.8 (NCH₂C₆H₄CH₂N), 29.2 (CH₃COH), 19.1 ppm (CH₃CN); elemental analysis calcd $(\%)$ for C₁₈H₂₄N₂O₂: C 71.79, H 8.05, N 9.33; found: C 71.92, H 7.89, N 9.20.

Ligand 7: Ligand 7 was obtained as a yellow crystalline solid in 63% yield. ¹H NMR (400 MHz, CDCl₃): $\delta = 5.43$ (s, 2H, CHCOH), 3.68 (d, 4H, N(CH₂CH₂)N), 2.15 ppm (s, 6H, CH₃CN); ¹³C NMR (100 MHz, [D₆]DMSO): $\delta = 174.9$ (CF₃COH), 171.2 (CH₃CN), 118.0 (CF₃COH), 89.2 (CHCOH), 43.6 (NCH₂CH₂N), 18.7 ppm (CH₃CN); ¹⁹F NMR (400 MHz, CDCl₃): $\delta = -0.40$ ppm (s, 6F); elemental analysis calcd (%) for $C_{12}H_{14}F_6N_2O_2$: C 43.38, H 4.25, N 8.43; found: C 43.62, H 4.38, N 8.60.

Complex synthesis (General Procedure): For enolic Schiff base aluminum ethyl complexes $1a-7a$, AlEt₃ (0.2 mmol) in toluene (5 mL) was added to the stirred 1 mL toluene solution of ligand precursors 1–7 (0.2 mmol) at RT. The reaction was maintained at 80° C for 12 h, and the reaction mixture was then slowly cooled to RT. The toluene was removed under vacuum. For enolic Schiff base aluminum isopropoxides, 2b and 4b were prepared by mixing the corresponding ethyl complexes with 2-propanol in toluene solution, the mixture was stirred at 80° C for 10 h and then slowly cooled to RT. The toluene was removed under vacuum. Crystals suitable for X-ray diffraction were grown from a mixture of toluene and pentane at -10 °C. The crystallographic data and the results of refinements were summarized in Table 1.

Complex 1a: Obtained as a white solid in 95% yield. ¹H NMR (400 MHz, CDCl₃): $\delta = 5.02$ (s, 2H, CHCOAl), 3.50 (m, 2H, $N(CH_2CH_2)N$), 3.42 (m, 2H, $N(CH_2CH_2)N$), 2.03 (s, 6H, CH₃COAl),

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1.96 (s, 6H, CH₃CN), 0.85 (t, 3H, AlCH₂CH₃), -0.39 ppm (q, 2H, AlCH₂CH₃); ¹³C NMR (100 MHz, CDCl₃): $\delta = 179.4$ (CH₃COAl), 171.4 (CH_3CN) , 98.8 (CHCOH), 45.7 (NCH₂), 25.5 (CH₃COAl), 21.3 (CH₃CN), 13.6 (AlCH₂CH₃), 9.6 ppm (AlCH₂CH₃); elemental analysis calcd (%) for C₁₄H₂₃AlN₂O₂: C 60.41, H 8.33, N 10.06; found: C 60.20, H 8.61, N 9.87.

Complex 2a: Obtained as a yellow solid in 93% yield. ¹H NMR (400 MHz, CDCl₃): $\delta = 7.90$ (d, 4H, ArH), 7.32 (m, 6H, ArH), 5.71 (s, 2H, CHCOAl), 3.53 (m, 2H, N(CH2CH2)N), 3.45 (m, 2H, $N(CH_2CH_2)N$, 2.04 (s, 6H, CH₃CN), 0.81 (t, 3H, AlCH₂CH₃), -0.38 ppm (q, 2H, AlCH₂CH₃); ¹³C NMR (75 MHz, CDCl₃): $\delta = 173.6$ (ArCOH), 172.3 (CH₃CN), 138.8, 129.9, 128.0, 126.9 (ArC), 96.6 (CHCOAl), 46.4 (NCH₂), 22.4 (CH₃CN), 10.1 ppm (AlCH₂CH₃); elemental analysis calcd (%) for $C_{24}H_{27}AlN_2O_2$: C 71.62, H 6.76, N 6.96; found: C71.72, H 6.58, N 7.11.

Complex 3a: Obtained as a yellow solid in 96% yield. ¹H NMR (400 MHz, CDCl₃): $\delta = 7.77$ (d, 4H, ArH), 7.24 (m, 6H, ArH), 5.79 (s, 2H, CHCOAl), 3.63 (b, 2H, N(CH₂CH₂CH₂)N), 3.41 (b, 2H, $N(CH_2CH_2CH_2)N$, 2.03 (s, 6H, CH₃CN), 1.91 (t, 2H, $N(CH_2CH_2CH_2)N$), 0.95 (t, 3H, AlCH₂CH₃), -0.06 ppm (q, 2H, AlCH₂CH₃); ¹³C NMR (75 MHz, CDCl₃): $\delta = 173.0$ (CH₃COAl), 172.3 (CH3CN), 138.7, 129.7, 127.9, 126.9 (ArC), 97.1 (CHCOAl), 49.4 $(N(CH_2CH_2CH_2)N)$, 26.2 $(N(CH_2CH_2CH_2)N)$, 22.06 (CH_3CN) , 10.4 ppm (AlCH₂CH₃); elemental analysis calcd (%) for $C_{25}H_{29}AlN_2O_2$: C 72.09, H 7.02, N 6.73; found C, 71.91, H 7.15, N 6.82.

Complex 4a: Obtained as a yellow solid in 98% yield. ¹H NMR (400 MHz, CDCl₃): $\delta = 7.93$ (d, 4H, ArH), 7.36 (m, 6H, ArH), 5.84 (s, 2H, CHCOAl), 3.39 (s, 4H, N(CH₂C(CH₃)₂CH₂)N), 2.14 (s, 6H, CH₃CN), 1.09 (s, 3H, N(CH₂C(CH₃)₂CH₂)N), 0.97 (s, 3H, N(CH₂C- $(CH_3)_2CH_2)N$, 0.85 (t, 3H, AlCH₂CH₃), -0.20 ppm (q, 2H, AlCH₂CH₃); ¹³C NMR (75 MHz, CDCl₃): $\delta = 172.6, 171.8$ (ArCOAl), 171.1, 170.2 (CH3CN), 139.4, 129.9, 128.2, 126.2 (ArC), 97.5 (CHCOAl), 58.2 $(N(CH_2C(CH_3)_2CH_2)N)$, 38.4 $(N(CH_2C(CH_3)_2CH_2)N)$, 26.3 $(N(CH_2C (CH_3)_2CH_2$)N), 22.5 (CH₃CN), 10.4 (AlCH₂CH₃), -0.05 ppm (AlCH₂CH₃); elemental analysis calcd (%) for $C_{27}H_{33}AlN_2O_2$: C 72.95, H 7.48, N 6.30; found C, 72.63, H 7.85, N 6.05.

Complex 5a: Obtained as a yellow solid in 89% yield. ¹H NMR $(400 \text{ MHz}, \text{CDCl}_3): \delta = 7.84 \text{ (d, 4H, ArH)}, 7.36 \text{ (m, 8H, ArH)}, 7.24 \text{ (d, }$ 2H, ArH), 5.76 (s, 2H, CHCOAl), 4.64 (d, 4H, NCH₂ArCH₂N), 2.35 (s, 6H, CH₃CN), 0.91 (t, 3H, AlCH₂CH₃), -0.21 ppm (q, 2H, AlCH₂CH₃); ¹³C NMR (100 MHz, CDCl₃): δ = 177.8 (ArCOH), 173.5 (CH₃CN), 138.3, 138.0, 129.5–124.9 (ArC), 98.0 (CHCOAl), 51.6 (NCH₂C₆H₄CH₂N), 22.6 (CH₃CN), 9.5 (AlCH₂CH₃), 0.2 ppm (AlCH₂CH₃); elemental analysis calcd (%) for $C_{30}H_{31}AlN_2O_2$: C 75.29, H 6.53, N 5.85; found C 75.40, H 6.88, N 6.13.

Complex 6a: Obtained as a yellow solid in 92% yield. ¹H NMR $(300 \text{ MHz}, \text{CDCl}_3): \delta = 7.28 \text{ (m, 1H, ArH)}, 7.26 \text{ (m, 2H, ArH)}, 7.09 \text{ (m,$ 1H, ArH), 4.89 (s, 2H, CHCOAl), 4.48 (d, 4H, NCH₂ArCH₂N), 2.03 (s, 6H, CH₃COAl), 1.92 (s, 6H, CH₃CN), 0.90 (t, 3H, AlCH₂CH₃), -0.27 ppm (q, 2H, AlCH₂CH₃); ¹³C NMR (100 MHz, CDCl₃): $\delta = 179.8$ (CH₃COAl), 173.4 (CH₃CN), 140.0, 128.7, 125.3, 124.7 (ArC), 99.8 (CHCOAl), 52.4 (NCH₂C₆H₄CH₂N), 25.7 (CH₃COAl), 21.2 (CH₃CN), 9.35 (AlCH₂CH₃), -0.71 ppm (AlCH₂CH₃); elemental analysis calcd (%) for C₂₀H₂₇AlN₂O₂: C 67.78, H 7.68, N 7.90; found: C 68.07, H 7.44, N 7.52.

Complex 7a: Obtained as a yellow solid in 88% yield. ¹H NMR (400 MHz, CDCl₃): $\delta = 5.57$ (s, 2H, CHCOAl), 3.64 (m, 2H, N(CH₂CH₂)N), 3.55 (m, 2H, N(CH₂CH₂)N), 2.14 (s, 6H, CH₃CN), 0.85 (t, 3H, AlCH₂CH₃), -0.34 ppm (q, 2H, AlCH₂CH₃); ¹³CNMR (100 MHz, CDCl₃): $\delta = 161.7$ (CF₃COH), 129.0 (CH₃CN), 119.4 (CF_3COH) , 97.4 (CHCOH), 46.6 (NCH₂CH₂N), 21.8 (CH₃CN), 9.3 (AlCH₂CH₃), 1.0 ppm (AlCH₂CH₃); elemental analysis calcd (%) for $C_{14}H_{17}AlF_6N_2O_2$: C 43.53, H 4.44, N 7.25; found: C 43.63, H 4.21, N 7.65. Complex 2b: Obtained as a yellow solid in quantitative yield. ¹H NMR (400 MHz, CDCl₃): $\delta = 7.34$ (d, 4H, ArH), 7.17 (m, 6H, ArH), 5.79 (s, 2H, CHCOAl), 3.83 (sep, 1H, OCH(CH₃)₂), 3.69 (m, 2H, N(CH₂CH₂)N), 3.50 (m, 2H, N(CH₂CH₂)N), 2.05 (s, 6H, CH₃CN), 0.97 ppm (d, 6H, OCH(CH₃)₂); ¹³C NMR (100 MHz, CDCl₃): $\delta = 173.3$ (ArCOAl), 172.7

(CH3CN), 138.6, 129.9, 128.1, 126.8 (ArC), 97.0 (CHCOAl), 62.7 (OCH- (CH_3) , 46.4 (NCH₂), 27.5 (OCH(CH₃)₂), 22.5 ppm (CH₃CN); elemental analysis calcd (%) for $C_{25}H_{29}AlN_2O_3$: C 69.43, H 6.76, N 6.48; found: C 69.60; H,6.82, N 6.35.

Complex 4b: Obtained as a yellow solid in quantitative yield. ${}^{1}H$ NMR $(400 \text{ MHz}, \text{CDCl}_3): \delta = 7.83 \text{ (m, 4H, ArH)}, 7.29 \text{ (m, 6H, ArH)}, 5.74 \text{ (s,}$ 2H, CHCOAl), 3.94 (m, 1H, OCH(CH₃)₂), 3.70 (d, 2H, N(CH₂C- $(CH_3)_2CH_2$)N), 3.35 (d, 2H, N(CH₂C(CH₃)₂CH₂)N), 2.06 (s, 6H, CH₃CN), 1.04 (d, 6H, OCH(CH₃)₂), 1.00 (s, 3H, N(CH₂C(CH₃)₂CH₂)N), 0.88 ppm (s, 3 H, N(CH₂C(CH₃)₂CH₂)N); ¹³C NMR (100 MHz, CDCl₃): δ $= 172.5$ (ArCOAl), 172.1 (CH₃CN), 138.4, 129.8, 128.0, 126.9 (ArC), 97.4 (CHCOAl), 62.9 (OCH(CH₃)₂), 59.0 (N(CH₂C(CH₃)₂CH₂)N), 38.2 $(N(CH_2C(CH_3)_2CH_2)N)$, 26.4 $(N(CH_2C(CH_3)_2CH_2)N)$, 24.1 (OCH- $(CH₃)₂$, 22.5 ppm $(CH₃CN)$; elemental analysis calcd (%) for $C_{28}H_{35}AlN_2O_3$: C 70.86, H 7.43, N 5.90; found: C 70.59, H 7.12, N 5.75.

Polymerization of rac-LA Under the protection of argon, the rac-LA $(22.4 \text{ mmol}, 3.22 \text{ g})$, complexes $1a-7a$, $(0.30 \text{ mmol in } 2 \text{ mL of to} 10a)$, 2propanol (0.30 mmol, in 5 mL of toluene), and toluene (38 mL) were added to a dried reaction vessel equipped with a magnetic stirring bar. The vessel was placed in an oil bath at 70° C. Conversion of the monomer was determined on the basis of ¹H NMR spectroscopic studies. The polymers were isolated by precipitation into cold methanol, then filtrated and dried under vacuum at RT for 24 h.

CCDC 659939, 659940, 659941, 659942, and 659943, contain the supplementary crystallographic data for this paper. These data can be obtained free of charge from The Cambridge Crystallographic Data Centre via www.ccdc.cam.ac.uk/data_request/cif.

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